

Radiation-damage-assisted ferroelectric domain structuring in magnesium-doped lithium niobate

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Abstract Irradiation of 5% magnesium-doped lithium niobate crystals ($\text{LiNbO}_3\text{:Mg}$) with high-energy, low-mass ^3He ions, which are transmitted through the crystal, changes the domain reversal properties of the material. This enables easier domain engineering compared to non-irradiated material and assists the formation of small-sized periodically poled domains in $\text{LiNbO}_3\text{:Mg}$. Periodic domain structures exhibiting a width of ≈ 520 nm are obtained in radiation-damaged sections of the crystals. The ferroelectric poling behavior between irradiated and non-treated material is compared.

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1 Introduction

Ferroelectric lithium niobate crystals (LiNbO_3) are of tremendous interest for applications in optics: the material is commonly used for integrated-optical devices such as waveguides [1], lasers [2], modulators [3], and couplers [4]. Special attention has been given to periodically poled lithium niobate crystals (PPLN) which exhibit domains with a periodically inverted polar axis: the periodic structure enables very efficient frequency doubling via quasi-phase-matching [5]. Using standard, congruently melting LiNbO_3 crystals, however, has a crucial disadvantage: incident light can change the refractive index locally,

yielding a distortion of the light beam profile, an effect known as the photorefractive effect or optical damage [6]. Doping LiNbO_3 with magnesium ($\text{LiNbO}_3\text{:Mg}$) at doping levels of 5 mol% in congruently melting material suppresses the photorefractive effect very efficiently [7]. However, it is difficult to structure the ferroelectric domains of crystals containing such a high percentage of Mg with standard methods used for undoped material. Hence, methods improving the poling feasibility of $\text{LiNbO}_3\text{:Mg}$ are highly desired. For this purpose, ultraviolet light, helping small-sized domains to grow, has been utilized [8, 9]. In this paper we present a different approach: the crystals are treated with low-mass, high-energy ions where the ion energy is large enough that they are transmitted through the full material thickness. On their path through the crystal, radiation damage takes place influencing domain reversal parameters such as the coercive field E_C [10]. However, it is questionable whether this change of E_C supports the formation of small-sized, periodically poled ferroelectric domains directly: it is rather expected that small-sized domains become possible by defects stabilizing the domain walls. Because irradiation with ions induces additional defects in the crystals, we have investigated the impact of radiation damage on the formation of small-sized ferroelectric domain structures, comparing irradiated with non-treated crystals.

2 Experimental methods

Magnesium-doped lithium niobate crystals supplied by Yamaju Ceramics, Japan, doped with 5 mol% Mg, were prepared in z -cut geometry with a size of $(x \times y \times z) = 16 \times 15 \times 0.5$ mm³ by cutting them from a single wafer, and the large z -surfaces were polished to optical quality. Irradiation treatments of the crystals were carried out at the cyclotron of

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the Helmholtz-Institute for Radiation and Nuclear Physics at the University of Bonn. Here doubly-ionized ^3He ions with an energy of 41 MeV were provided which were incident on the crystal's z -surface. The range of the ions, i.e. the propagation length of the ions through $\text{LiNbO}_3\text{:Mg}$ at that energy, was $530\text{ }\mu\text{m}$ as calculated by SRIM simulations [11]; hence the ions can be considered to be transmitted through the entire crystal thickness ($d = 500\text{ }\mu\text{m}$). The samples were mounted onto an aluminum heat sink using heat conductive paste. The ion beam was shaped by a graphite slit with dimensions of $2 \times 8\text{ mm}^2$; a total ion current of 60 nA yields an ion fluence per second of $1.7 \times 10^{16}\text{ ions/m}^2\text{ s}$. Typical irradiation treatments lasted between 20 and 70 min. The irradiated area was located in the center of the z -surface of the crystal.

In order to fabricate Mg-doped PPLN the crystals were coated after the irradiation process with a grating-like structured photoresist pattern (AZ1512) on the $+z$ -surface. The resist had a thickness of $1.5\text{ }\mu\text{m}$ and was structured with a period of either 10 or $18\text{ }\mu\text{m}$, exhibiting a duty cycle of 1 : 1.5, or a period of $5.3\text{ }\mu\text{m}$ with a duty cycle of 1 : 4.3. For domain reversal, the crystal was clamped between two fused silica glass plates with silicone o-rings. The space within the o-rings was filled with liquid electrodes [8, 12]. Subsequently, high voltage was applied to the z -surfaces. We used high voltage pulses (up to 5 kV), generated by a high voltage amplifier (TREK 20C) which was controlled by a function generator; the pulse duration was typically 600 ms. During the poling experiments, the redistribution of compensation charges on the crystal surface was monitored. The application of multiple voltage pulses yielded structured domain inversion.

Spatially-resolved domain reversal can also be achieved using a scanning force microscope: applying a voltage to the tip and thus generating an electric field high enough to exceed E_C of the material locally reverses the spontaneous polarization. Even if the field at the apex of the tip easily exceeds E_C , stable domains can be written only if the applied voltage is above a threshold value yielding fields at the apex much higher than E_C . To obtain sufficient electric fields throughout the whole crystal thickness, thin crystals of a few tens of micrometers are necessary. Thus, we prepared thin slabs out of our irradiated samples by grinding and polishing the back surface, removing $\approx 80\text{ }\mu\text{m}$ of the material, and subsequent bonding the surface to indium tin oxide coated glass substrates. Next the front surface was ground and polished until a thin layer of $\approx 50\text{ }\mu\text{m}$ remained. This procedure ensured that ion-irradiated material was obtained from the inner regions of the crystal in order to have a good compromise between sufficient radiation damage without being too close to the Bragg peak, which yields too strong low-energy ion-damage processes.

Ferroelectric domains in LiNbO_3 crystals can be visualized by several methods [13]: here the crystals were etched

in hydrofluoric acid (HF) which converted a domain pattern into a corresponding topographical profile that could be observed by an optical microscope. Alternatively, we used piezoresponse force microscopy (PFM) to visualize the domain structures without etching [14]. For PFM a scanning force microscope is operated in contact mode with an additional alternating voltage applied to the tip. In piezoelectric samples this voltage causes thickness changes and therefore vibrations of the surface, which lead to oscillations of the cantilever that can be read out with a lock-in amplifier.

3 Results

For the experiments, we have prepared three different samples. Sample A has been irradiated with a total ion fluence of $2 \times 10^{19}\text{ ions/m}^2$ (irradiation time $\approx 20\text{ min}$) and has been coated with a mask having a period of $18\text{ }\mu\text{m}$. An optical microscope image of the HF-etched sample is shown in Fig. 1. The previously ion-irradiated region exhibited periodically poled ferroelectric domains with a period of $18\text{ }\mu\text{m}$ whereas in the non-irradiated part of the sample distinctly fewer domains were observed. The inset of Fig. 1 displays a part of the crystal right at the edge between irradiated and non-irradiated regions, where the differences in the domain formation process can be seen.

Figure 2(a) shows an image of sample B which has been treated with a total ion fluence of $7 \times 10^{19}\text{ ions/m}^2$ (irradiation time $\approx 70\text{ min}$). In the subsequent poling experiment a mask with a period of $5.3\text{ }\mu\text{m}$ was used. The mask covered the entire crystal area displayed in Fig. 2(a), consisting of an

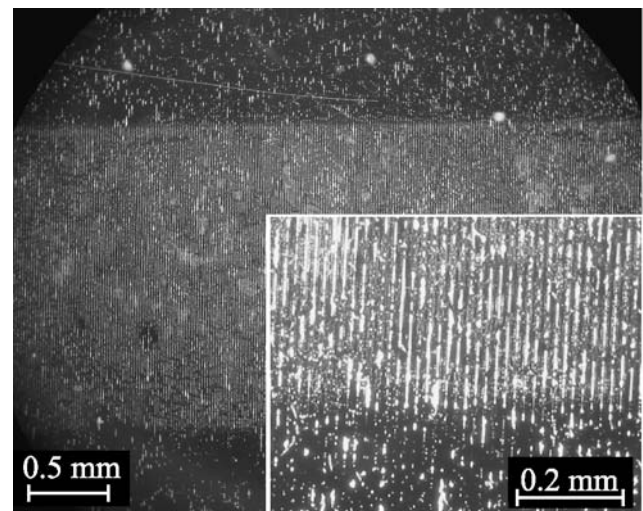
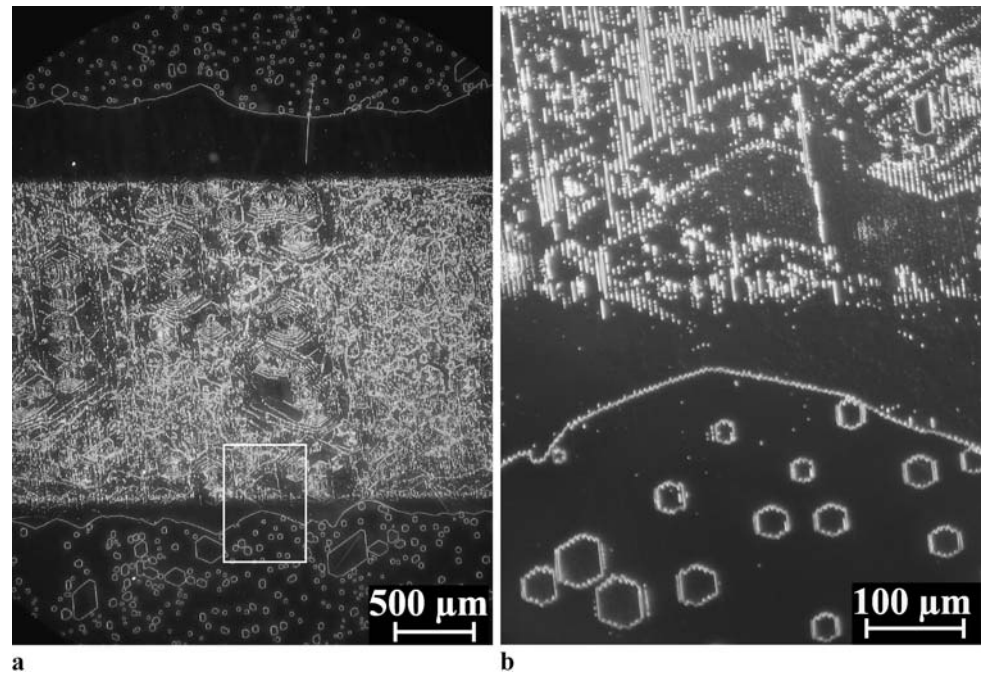


Fig. 1 Optical microscope image of the $+z$ -surface of HF-etched sample A after a domain reversal experiment using an $18\text{ }\mu\text{m}$ period mask. In the previously ion-irradiated regions a much stronger domain reversal process was observed compared to the non-treated sections of the crystal. The *inset* shows a part of the crystal under larger magnification, where the periodicity of the mask has been faithfully reproduced

Fig. 2 Optical microscope image (a) of the HF-etched sample B after domain reversal experiment using a 5.3 μm mask; Fig. 2(b) shows a part of the crystal under larger magnification. In the irradiated sections domains exhibiting the mask periodicity of 5.3 μm were present (*upper part of (b)*), whereas in the non-treated regions larger, non-periodic structured domains were observed (*lower part of (b)*)



irradiated region (middle stripe in Fig. 2(a)) and non-treated parts (upper and lower parts in Fig. 2(a)). Figure 2(b) shows a magnification of the indicated area of Fig. 2(a). Two results have to be emphasized: in the irradiated region, small-sized ferroelectric domains appeared clearly reflecting the mask periodicity. In the non-irradiated part of the crystal, shown in the lower part of Fig. 2(b), we found that domains, when present, exhibited the typical underlying $3m$ crystal symmetry of LiNbO_3 [15] but they did hardly reflect the periodicity of the mask. In particular, most of the domains in the non-treated region were much larger than 5 μm , and only at their edges could the periodicity of the mask be seen.

In Fig. 3 we show a typical PFM image of the $+z$ -surface of sample C (fluence 6×10^{19} ions/ m^2 , irradiation time ≈ 60 min, mask period 10 μm). Small domain stripes with a width of ≈ 520 nm were observed. This corresponds to a domain duty cycle of 1 : 19, compared to the photomask duty cycle of 1 : 1.5. Because PFM can distinguish the direction of the z -axis with respect to the surface, we can identify that dark regions in Fig. 3 are reversed domains, whereas the bright stripes belong to the non-reversed domains. Hence, in crystal C almost complete domain reversal has taken place.

We also performed local poling experiments using diamond coated tips of 60 nm nominal radius of a scanning force microscope (model SMENA from NT-MDT Co., Russia). First, the minimum voltage (exposure time 5 min) to write domains stable for at least 14 hours was identified in both the irradiated and the non-irradiated parts of the crystal. As the electric field was inhomogeneous and strongly dependent on the exact shape of the tip we only give the poling voltages (see Table 1). The errors are given by the

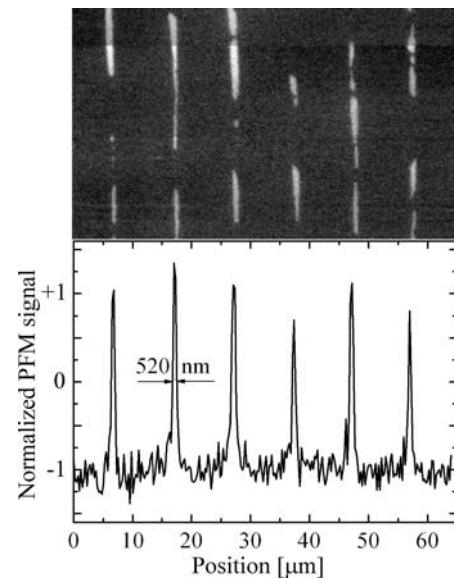


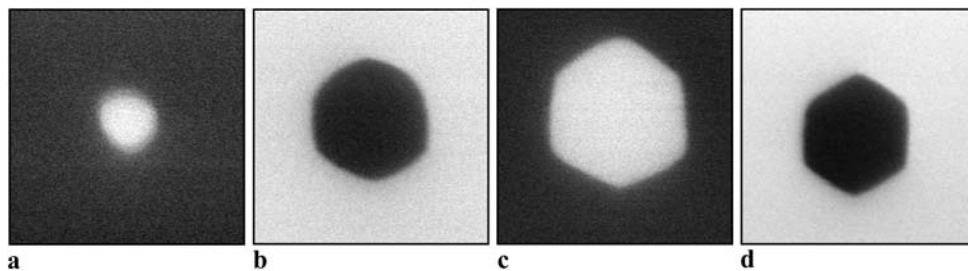
Fig. 3 *Upper part:* Typical PFM image of the surface of sample C. *Lower part:* A line scan is shown, together with an indication of the FWHM of the domains

standard deviation calculated from the poling voltages at 3–5 different positions.

Furthermore, the domain growth characteristics were analyzed by poling with a fixed voltage of ± 100 V for 10 min and subsequently imaging the written domains via PFM. The results are shown in Fig. 4: most prominent is the difference between the poling results in the virgin part of the crystal (Fig. 4(a)) and the reversed or irradiated region respectively (Fig. 4(b–d)). Moreover, in the ^3He -irradiated re-

Table 1 Minimum poling voltages to write stable domains and domain sizes poled with the PFM tip in the different parts of the LiNbO₃:Mg crystal

Crystal section	Minimum poling voltage (5 min exposure time)	Domain size (± 100 V, 10 min)
Non-irradiated, non domain-reversed	$-(100 \pm 4)$ V	$0.5 \mu\text{m}^2$
Non-irradiated, domain-reversed	$+(55 \pm 2)$ V	$1.8 \mu\text{m}^2$
Irradiated, non domain-reversed	$-(40 \pm 3)$ V	$2.6 \mu\text{m}^2$
Irradiated, domain-reversed	$+(45 \pm 2)$ V	$1.6 \mu\text{m}^2$

**Fig. 4** PFM images ($3 \times 3 \mu\text{m}^2$ size) of domains poled by applying voltages (± 100 V) with the help of the PFM tip (10 min exposure time) in a $50 \mu\text{m}$ thickness LiNbO₃:Mg layer: the domains poled in

the non-irradiated part (**a, b**) were less hexagonal as compared to domains poled in ^3He -irradiated region (**c, d**). The *bright* (*dark*) area corresponds to the $+z$ - ($-z$)-surface of the crystal

region the domains grew in a more hexagonal shape than in the non-irradiated part.

Note that the apparently blurred, round shape of the domain in Fig. 4(a) is not due to an insufficient resolution of the instrument—the lateral resolution was 75 nm as determined by scanning across a domain wall in a standard PPLN sample [16] prior to the poling experiments—but represents accurate data. This measurement was repeated after finishing all poling experiments thereby confirming no changes in the tip radius during the series of measurements.

4 Discussion

Our results clearly show: radiation damage enables easier domain reversal in LiNbO₃:Mg as compared to untreated material, allowing for the formation of smaller sizes of the domains in periodically poled crystals. In regions subjected to ion irradiation the formation of periodically poled domains was strongly supported (Fig. 1). Furthermore, Fig. 2 shows that radiation damage enables smaller domain generation in irradiated material as compared to untreated crystals: in the non-exposed areas, small-sized domains with $5.3 \mu\text{m}$ spacing occasionally appeared, whereas irradiation allowed small domains in the order of 520 nm width to be generated. It has to be noted that these small domains are most probably surface domains that do not extend through the entire crystal, however, their depth is larger than 500 nm as can be concluded from the PFM images showing the same contrast as bulk domains [16].

The domain growth characteristics in the different regions of the crystal vary significantly as can be deduced from the PFM measurements. In the regions with higher defect concentrations, i.e. in the domain-reversed or in the ^3He -irradiated part, the poling starts at about half the voltage as compared to the virgin crystal. Comparing the minimum voltages to write stable domains in the previously poled region (Table 1) we find that the irradiated part can be poled with about 20% lower voltages than the non-irradiated part, hence, the coercive field is significantly lowered there. This is in good agreement with recently published results [10]. However, it is not possible to deduce the exact values of coercive fields as the detailed poling process via the inhomogeneous field of the tip is still under discussion [17–19]. Nonetheless, PFM imaging is not hampered by the inhomogeneous field [20], yielding distinct differences in the poling process: in the irradiated region of the crystal the domains always grow in regular shaped hexagons whereas in the non-irradiated part the domain structures are distinctly less hexagonally shaped and rather circular. According to the defect dipole model for LiNbO₃ [21] the domain wall motion and stabilization can be explained by pinning at defects. Thus, the hexagons are very likely stabilized via defects introduced by the ^3He ion irradiation. To refine our findings a series of poling experiments with various LiNbO₃:Mg crystals with, e.g., different thickness or different irradiation fluences, is desirable.

We consider our experiments as a proof-of-principle showing that ion irradiation has a significant, positive impact on the poling properties of LiNbO₃:Mg; however, more effort has to be taken to improve the quality of the peri-

odically structured crystals. The ion fluences used in this work were initially chosen values, according to established ion fluences used for preparation of refractive index modulations or changes in the coercive field [10], which are not optimized. It will be necessary to investigate the dependence of the effects on the ion fluence. In particular, it will be interesting if lower ion fluences can still yield reasonable results and if there exists a saturation behavior for very strong ion exposure.

Please note that quite similar results can be achieved using UV light illuminating the crystals during the domain reversal process [9, 12, 22, 23]. This method also yields surface nano-structured domains in LiNbO₃:Mg. The advantages of ion-treated material can be summarized as follows: the process of irradiation can be separated from the domain engineering, which is different from the UV light-assisted poling, where simultaneous illumination during the application of high voltage is required in the case of LiNbO₃:Mg [24]. Furthermore, we expect that radiation-damaged material can be treated using the well established poling process steps with optimized electrode design, different to the UV process requiring special UV suitable masks with a minimum thickness limiting the resolution achievable. Standard masks can be made thinner yielding smaller structures. The similarity between UV illumination and ion exposure has been discovered before: both treatments yield a significant reduction of the coercive field E_C [8, 10, 25], which implies that changes in the electronic structure of the material are crucial for the poling dynamics.

5 Conclusion

Irradiation of magnesium-doped, optical damage resistant lithium niobate crystals with high-energy, low-mass ³He ions assists the formation of small-sized ferroelectric domains, significantly supporting the fabrication of periodically poled crystals. This is an interesting alternative to UV-assisted domain engineering, as it yields improved raw material for the periodic poling process of LiNbO₃ either using standard poling techniques or direct writing concepts utilizing piezoelectric force microscopy, yielding components which are highly desired for applications in modern optics.

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